

**REPORT FOR THE SKD**

**INSTRUCTION TYPE "PLEDGE" IN THE SKD**

Instruction No.
to be completed by operator

<b>Pledge<sup>(1)</sup>:</b>	<input type="checkbox"/> As per Act on Securities	<input type="checkbox"/> Financial securing
	<input type="checkbox"/> Origination/complementation of pledge	<input type="checkbox"/> Extinction of pledge
<b>Instruction type<sup>(2)</sup>:</b>		
<b>Equal financial collateral - number of the instruction of the original pledge<sup>(3),(4)</sup>:</b>		

**Pledgee/recipient<sup>(5)</sup>:**

Asset/cash account No. and the bank code:		
Code and abbreviated name of client/custodian:		
Code and abbreviated name of agent:		

**Pledgor/provider<sup>(5)</sup>:**

Asset account No.:		
Code and abbreviated name of client/custodian:		
Code and abbreviated name of agent:		

**Issue<sup>(6)</sup>:**

Code, ISIN, and title:			
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**Pledge:**

Nominal value of security:		CZK
Transferred amount <sup>(8)</sup> :		CZK
Type of pledge <sup>(9),(10)</sup> :		
Date of registration of the pledge <sup>(10)</sup> :		
Transfer of pledged securities – authorized recipient <sup>(3),(10),(11)</sup> :		<input type="checkbox"/> Yes
Pledge of pledged securities – authorized recipient <sup>(3),(10),(11)</sup> :		<input type="checkbox"/> Yes
Substitution of pledged securities – authorized recipient <sup>(3),(10),(11)</sup> :		<input type="checkbox"/> Yes
Date of maturity of the pledged receivable <sup>(10),(12)</sup> :		
Number of instruction to cancel the pledge <sup>(13)(14)</sup> :		
Date of extinction of the pledge <sup>(13)</sup> :		

In ..... date .....

Pledgee's/recipient's agent<sup>(15),(16),17)</sup> .....

Pledgor's/provider's agent<sup>(15), (16) (17)</sup> .....

**Explanatory notes to instruction type INS104 “PLEDGE”:**

The boldly framed white boxes are obligatory, unless specified otherwise in other notes. The thinly framed grey boxes are for information purposes only. No account will be taken of the values contained therein when entering instructions in the SKD.

- (1) Type of pledge is marked with a cross in the box before the appellation of the lien, or rather according to the legal regime of the lien contract (lien originates based on a lien contract as per the act on securities and the commercial code or based on the arrangement of the financial securing as per the commercial code).
- (2) The type of instruction is marked with a cross in the box before the name of the requested type of instruction.
- (3) The box is filled in only in the financial collateral.
- (4) A reference to the number of instruction of the original pledge is set out when providing an equal financial collateral.
- (5) The abbreviated names of the pledgee/recipient of the financial collateral, pledgor/provider of financial collateral and their agents are stated in the form specified in the contract on participation in the SKD or in the contract on an asset account in the SKD.
- (6) The number of the cash account and bank code of the pledgee, or recipient of financial securing, where the pledgee, or recipient of financial securing, is not a participant in the SKD.
- (7) Only one of the following boxes is filled in: “Issue Code” or “Issue ISIN”. The title of the security is specified according to the data in the SKD records.
- (8) If a non-zero number is filled in the box “Transferred amount” (only decimal numbers with two decimal places are admissible), the registration of a contractual lien or, as the case may be, the extinction of a contractual lien is bound to the execution of a payment in the stated amount.
- (9) In the box “Type of pledge” only one of the letters, either L or O, shall be stated, with the proviso that
  - a) **L** shall be used for credit provided by the CNB,
  - b) **O** shall be used for other pledges.
- (10) The boxes are filled in only to establish a pledge.
- (11) The box is filled in if it has been agreed by the provider and the recipient of the financial collateral (it is not filled in when providing an equal financial collateral).
- (12) An informative data concerning the maturity date of the pledged receivable is filled in.
- (13) The box is filled in only in case of the extinction of the pledge.
- (14) The box is filled in, in case of the extinction of the pledge, using a number which refers to the instruction of the original pledge.
- (15) The instruction must be signed by the agent’s authorized employee whose specimen signature is at the disposal of the operator.
- (16) If a pledge is established based on a lien contract concluded as per Act on Securities and the civil code, an appendix to the instruction must be the original or a verified copy of the lien contract. The instruction is signed by the agent of the pledgee, pledgor or distrainee.
- (17) If a pledge is established based on a lien contract concluded as per Act on Securities and the civil code, an appendix to the instruction must be the original or a verified copy of the lien contract.